# Vita, Work, and Publications of Georg Gottlob

October 2007

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## 1 Personal Data

Name: Georg GOTTLOB

#### Academic Degrees:

- Diplom-Ingenieur (M.Sc., Vienna University of Technology, 1979)
- Doctor of Technical Sciences (Vienna Univ. of Technology, 1981)
- Master of Arts (MA, Oxford University, by resolution, 2006)

**Current Positions:** Professor of Computing Science at Oxford University, and Adjunct Professor of Computer Science at the Vienna University of Technology.

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## 2 Short Bio, Education & Professional Experience

- 30th June 1956 Birth in Vienna, Austria
- 1962 1974 "Lycée Français" school, Vienna, Austria.
- 28th June 1974 High School Diploma (Baccalauréat).
- 1974 1979 Mathematics and Computer Science studies at the Vienna University of Technology (TU Wien).
- 13th Dec. 1979 Diplomingenieur (M.Sc.) in Computer Science. Thesis: "Simulation of interactively guided streetcar networks".
- May 1980 April 1082 University Assistant at the "Institut f'ur Angewandte Informatik und Systemanalyse" (Institute of Applied Computer Science and Systems Analysis) at TU Wien.
- June 1981 "Doktor der technischen Wissenschaften", cum laude, TU Wien, thesis: "Multivalued Logic - Structure and Application in Computer Science"
- Sept. 1982 Dec. 1984 Research Associate at Politecnico di Milano, Department of Electrical Engineering.
- Jan. 1984 Dec. 1984 Chief consultant of the industrial research project DATANET-SAD: Development and implementation of a distributed file-server for Olivetti Microcomputers, Milano (Italy), A.R.G.-S.P.A. software company.
- Jan. 1985 Feb. 1988 Researcher at the Institute of Applied Mathematics of C.N.R. (Italian National Research Council), Genoa, Italy.
- Jun.-Sept.1985 and 1986 Research Scholar at Stanford University (Invited by Gio Wiederhold) and lecturer of the course "Distributed Databases" (jointly with S.Ceri)
- June-July 1987 Research Scholar and Lecturer at Stanford University.
- Since 1st March 1988 Professor of Computer Science at the Vienna University of Technology (TU Wien), "Institut für Informationssyteme" (Institute of Information Systems).

- Oct. 1989 Sept. 1996 Director of the Christian Doppler Laboratory for Expert Systems (Laboratory for basic research in the field of expert systems, located at TU Vienna but industrially funded).
- *Since 1988* Head of the Database and AI Group of the Information Systems Department of Vienna TU (currently about 20 employees).
- 1991 1998 and 2001-2003 Chairman of the Information Systems Department of Vienna TU.
- Summer semester 1993 Sabbatical at the ETH Zurich, Switzerland.
- July 1998 Recipient of the Wittgenstein Award.
- Spring term 1999 Invited McKay Professor at the Computer Science Division, EECS Department, University of California, Berkeley.
- *May 1999* Elected Corresponding Member of the Austrian Academy of Sciences.
- November 2001 Co-Founder of the Lixto Software GmbH company (www.lixto.com), a spin-off of TU Wien offering software and services for data extraction and integration. Lixto was a finalist in the 2003 World Technology Award competition.
- February 2002 Visiting Professor, Université Paris VII, Denis Diderot.
- May 2004 Elected Full Member of the Austrian Academy of Sciences.
- Since 1 January 2006 Professor of Computing Science, Oxford University.
- Since 1 January 2006 Fellow of St. Anne's College, Oxford University.
- *March 2006* Elected Member of the German Academy of Sciences Leopoldina.
- April 2006 Royal Society Wolfson Research Merit Award.
- April 2006 Elected Member of the Academia Europaea, London.
- September 2008 Founding Member of the Oxford Man Institute of Quantitative Finance.

## **3** Research Interests

- Web Data Extraction, Data Integration, and Data Exchange
- Database Theory
- Algorithms for semistructured data and XML processing
- Graph or hypergraph based algorithms for problem decomposition
- Knowledge Representation and Reasoning
- Complexity in AI and Logic Programming
- Complexity Theory
- Finite Model Theory and Descriptive Complexity
- Computational Logic.

## 4 Short Description of Selected Scientific Results

In the following, I briefly summarize a number of scientific achievements. I will list selected results in form of short abstracts, giving priority to the more recent results. For a more complete picture, please consult the list of my publications in Section 9.

Web Data Extraction. Web data extraction consists of automatically identifying structured data on web pages and loading the data into a database or other EDP application. A comprehensive theory of Web data extraction and data extraction from semi-structured documents was developed in [8, 105]. A first basic insight was that data extraction from tree-structered documents is a task which can be essentially described by monadic logic, and that, in particular, monadic second order logic (MSO) is a well-suited formalism for describing most relevant data extraction tasks. Since MSO is not a practical language and has a high expression complexity, we looked for a simpler extraction language having the same expressive power. We identified *monadic datalog* as a good candidate and proved that, over tree structures, monadic datalog has exactly the same expressive power as MSO, but much lower complexity (size of program times size of input document). We designed and implemented the Lixto system [110, 111, 115, 96] for visual data extraction. This system allows a designer to develop a wrapper, that is, an information extraction program, by mainly visual and interactive

operations performed on a sample document. These wrappers are formulated in an extension of monadic datalog. This means that monadic datalog programs over HTML-trees, and thus MSO mappings, can be essentially defined in a visual and interactive manner. The Lixto system was further developed by the spin-off company *Lixto Software*, described in more detail in Section 8, and is now heavily used by the industry. Our theoretical results on data extraction [105] got the best paper award at ACM PODS 2002. The Lixto software was a finalist in the World Technology Award competition 2003 in San Francisco.

XPath: Algorithms and Complexity. XPath is an important query language for XML documents, allowing one to specify a set of nodes (i.e., objects) in a document. XPath is a sublanguage of the query and transformation language XSLT and has been implemented in several query processors, and in browsers such as Internet Explorer 6. While all these systems require exponential time in the worst case for answering XPath queries, we have developed a polynomial XPath evaluation algorithm in [7, 104], and thus shown that XPath is a tractable language. In [102] we have shown that relevant fragments of XPath can be evaluated with highly parallel algorithms. In [6, 103] we have made a detailed complexity analysis of XPath evaluation, clarifying the complexity for the most relevant fragments, and showing that Core XPath (the logical core fragment of XPath) is PTIMEcomplete, while other fragments lie in lower complexity classes. The theoretical research was complemented by an implementation and experimental evaluation of the new XPath algorithm [7]. A patent on our new XPath evaluation algorithm has been granted.

Algorithms for Data Exchange. Data Exchange is the problem of inserting data structured under a source schema into a target schema of different structure (possibly with integrity constraints), while reflecting the source data as accurately as possible. In their recent paper "Data Exchange: Getting to the Core" (ACM PODS'03), Fagin, Kolaitis, and Popa have proposed an elegant solution to the data exchange problem that is based on the notion of the core of a structure and of the core of a data exchange problem. In [94], computational issues related to this approach were studied, and new, more efficient algorithms were developed, showing that data exchange is tractable in presence of full tuple generating dependencies. This answered an open problem posed by Fagin and colleagues. Based on this work, in [86, 1] a yet more general central problem posed by Fagin et al. is solved positively. It was proved that data exchange with cores in its most general considered version is tractable.

Hypertree Decompositions. The concepts of *tree decomposition* and treewidth (a cyclicity measure for graphs) introduced by the well-known graph theorists Robertson and Seymour in 1986<sup>1</sup> was of great profit to Computer Science. Many NP hard problems turned out to be tractable on instances whose underying graphs have bounded treewidth. However, the structure of many problems is better described by hypergraphs than by graphs. We were thus looking for suitable hypergraph decomposition methods with similar properties as treewidth, and forged the new decomposition concept of hypertree hypertree decompositions (HD) and the associated notion of hypertree width (HW) [13, 130]. This decomposition has several favorable properties: For every constant k, it can be determined in polynomial time whether a given hypergraph has HW k, and in the positive case, a HD of width k can be computed, moreover, many problems such as conjunctive query evaluation, and constraint satisfaction problems (CSPs), which are NP complete in general, can be solved in polynomial time for instances of bounded HW. Solving an open problem, we showed that for a different decomposition method proposed by Chekuri and Rajaraman<sup>2</sup>, it is NP-hard to determine whether a hypergraph has bounded width. A natural game-theoretic characterization of hypertree-width was given in [17, 114], where it was shown that a hypergraph has HW k iff k "marshals" (each of which can control a hyperedge) can capture a robber who can move freely along connected hyperedges not occuppied by marshals. In [19, 128, 11], the concept of hypertree width is compared to various other hypergraph decomposition methods and it is shown that hypertree-width is so far the most general method. We are currently continuing our research on hypertree decompositions in various directions: Finding new and faster decomposition algorithms, generalizing the concept, extending the range of applications.

Dualization of Monotone Boolean Functions and Hypergraph Transversal Computation. In [107, 12] we studied the problem of dualizing a monotone CNF (equivalently, computing all minimal transversals of a hypergraph), whose associated decision problem is a prominent open problem in NP-completeness. We present a number of new polynomial time resp. output-polynomial time results for significant cases, which largely advance

<sup>&</sup>lt;sup>1</sup>Robertson, N. and Seymour, P. D., Graph minors II. Algorithmic aspects of treewidth, J. Algorithms 7, 1986, pp.309-322.

<sup>&</sup>lt;sup>2</sup>C.Chekuri and A.Rajaraman, Conjunctive Query Containment Revisited, Proc. 6th . Intl. Conf on Database Theory, Springer LNCS 1186, 1987, pp. 56-70.

the tractability frontier and improve on previous results. Furthermore, we show that duality of two monotone CNFs can be disproved with limited nondeterminism. More precisely, this is feasible in polynomial time with  $O(\chi(n) \cdot \log n)$  suitably guessed bits, where  $\chi(n)$  is given by  $\chi(n)^{\chi(n)} = n$ ; note that  $\chi(n) = o(\log n)$ . This result sheds new light on the complexity of this important problem. Earlier results on tractable cases and various applications were dicussed in [31].

Computing Pure Nash Equilibria of Strategic Games. In [5, 101], we investigate complexity issues related to pure Nash equilibria of strategic games. We show that, even in very restrictive settings, determining whether a game has a pure Nash equilibrium is NP-hard, while deciding whether a game has a strong Nash equilibrium is  $\Sigma_2^P$ -complete. We then study practically relevant restrictions that lower the complexity. In particular, we are interested in quantitative and qualitative restrictions of the way each player's move depends on moves of other players. We say that a game has small neighborhood if the utility function for each player depends only on (the actions of) a logarithmically small number of other players. The dependency structure of a game  $\mathcal{G}$  can be expressed by a graph DG(G) or by a hypergraph H(G). By relating Nash equilibrium problems to constraint satisfaction problems (CSPs), we show that if G has small neighborhood and if H(G) has bounded hypertree width (or if DG(G) has bounded treewidth), then finding pure Nash and Pareto equilibria is feasible in polynomial time. If the game is graphical, then these problems are LOGCFL-complete and thus in the class  $NC_2$  of highly parallelizable problems.

Complexity of and Algorithms for Acyclic Conjunctive Queries In [15] we study the complexity of evaluating acyclic Boolean conjunctive queries in relational databases. By well-known results of Yannakakis<sup>3</sup>, this problem is solvable in polynomial time; its precise complexity, however, was unknown. We showed that the problem of evaluating acyclic Boolean conjunctive queries is complete for LOGCFL, the class of decision problems that are logspace-reducible to a context-free language. Since LOGCFL is contained in  $AC_1$  and in  $NC_2$ , the evaluation problem of acyclic Boolean conjunctive queries is highly parallelizable. We present a parallel database algorithm solving this problem with a logarithmic number of parallel join operations. The algorithm is generalized to computing the output of relevant classes of nonboolean queries. The LOGCFL-completeness result is extended

<sup>&</sup>lt;sup>3</sup>Proc. Intl. Conf. on Very Large Data Bases, VLDB'81, Cannes, France, 1981, pp.82–94

to the class of queries of bounded treewidth, boubed hypertree width and to other relevant query classes which are more general than the acyclic queries.

Second Order Logic over Strings and Graphs. Fagin's theorem, the first important result of descriptive complexity, asserts that a property of graphs is in NP if and only if it is definable by an existential second-order formula. In [10, 117] we study the complexity of evaluating existential secondorder formulas that belong to *prefix classes* of existential second-order logic, We completely characterize the computational complexity of prefix classes of existential second-order logic (ESO) in three different contexts: (1) over directed graphs, (2) over undirected graphs with self-loops and (3) over undirected graphs without self-loops. Our main result is that in each of these three contexts a *dichotomy* holds, that is to say, each prefix class of existential second-order logic either contains sentences that can express NPcomplete problems, or each of its sentences expresses a polynomial-time solvable problem. Establishing this dichotomy over undirected graphs without self-loops turned out to be a technically challenging problem that requires the use of sophisticated machinery from graph theory and combinatorics, including results about graphs of bounded tree-width and Ramsey's theorem. A similar characterization of ESO prefix classes over strings (finite words) was carried out in [26, 136] and for full second order formulas in [125].

**NP-Trees.** In [23, 152], I consider problems and complexity classes definable by interdependent queries to an oracle in **NP**. How the queries depend on each other is specified by a directed graph G. I first study the class of problems where G is a general dag and show that this class coincides with  $\Delta_2^P$ . I then consider the class where G is a tree. The main result states that this class is identical to  $P^{NP}[O(\log n)]$ , the class of problems solvable in polynomial time with a logarithmic number of queries to an oracle in NP. This result has interesting applications in the fields of modal logic and artificial intelligence. In particular, we show that the following problems are all  $P^{NP}[O(\log n)]$ -complete: validity-checking of formulas in Carnap's modal logic, checking whether a formula is almost surely valid over finite structures in modal logics **K**, **T**, and **S4** (a problem recently considered by Halpern and Kapron), and checking whether a formula belongs to the stable set of beliefs generated by a propositional theory.

Complexity of Nonmonotonic Reasoning. During the early nineties, a series of studies on the complexity and expressive power of nonmonotinic reasoning was carried out. In [37], the exact complexity of reasoning in default logic and in autoepistemic logic with  $\Pi_2^P$  [37]. Prior to this, the known

lower bound had been NP and co-NP, while the exact complexity had remained open. The complexity of circumscriptive reasoning cite was an open problem, too. This problem was solved in [47] by proving that the reaoning with circumscription is also complete for classes at the second level of the polynomial-time hierarchy. In [30], the complexity of abductive reasoning is explored, while [48, 44] studies computational issues of knowledge base revision. It generally emerged that all main forms of nonmonotonic reasoning are complete for appropriate classes of the second level of the polynomial hierarchy and thus presumably harder than classical reasoning, because the nonmonotonic reasoning tasks incorporate two orthogonal sources of complexity (intractability). For many of these problems, our complexity results led to the identification of relevant tractable subclasses (where bouth complexity sources are eliminated). Another open problem was concerned with the intertranslatability betweeen nonmonotonic formalisms (and thus with expressive power). In particular, it was unclear whether default logic (DL) could be translated to (embedded into) standard autoepistemic logic (AEL). This problem was solved in [24], where such a translation was constructed, but where it was also shown that there exists no modular translation from DL to AEL. I a more recent paper [20, 87], the fixed parameter tractability of nonmonotonic reasoning and logic programming was studied and several fixed-parameter tractable settings were identifid.

First Order Logic with Henkin Quantifiers and Oracle Computations. In [40, 142], the exact expressive power of first order logic (FO) extended by Henkin quantifiers on finite models was determined. This problem was originally posed by the well known logicians Blass and Gurevich<sup>4</sup>, in 1986 and remained unsolved until the publication of [142]. A general theory for determining the complexity of FO extended by generalized quantifiers was developed and it was shown that, for a large class of generalized quantifiers, the extension of FO by such quantifiers corresponds to the addition of an oracle to a LOGSPACE Turing machine. Further results on generalized quantifiers and oracles were obtained in [67] and in and [138], where it was shown that a hierarchy of relativized complexity classes (defined using oracles from a specific class) collapses to its first level.

Subsumption Algorithms and Redudancy Elimination from Clause sets. Clause subsumption is an important technique for eliminating redundant clauses from the search space of a theorem prover. Subsumption tests are rather expensive. In [38] we analyzed the complexity of existing meth-

<sup>&</sup>lt;sup>4</sup>Annals of Pure and Applied Logic 32(1986)

ods and developed a new, much more efficient subsumption algorithm. A refinement of the new method was presented in[162]. Our subsumption algorithms have since be used in a number of theorem provers and deductive systems. A comparison between subsumption and clause implication was reported in [53]. An algorithm is given which reduces the (in the general case undecidable) implication test in many cases to a subsumption test. This result is used in the area of Inductive Logic Programming. The problem of eliminating redundency from a single clause is addressed in [49]. The complexity of the (function free) Horn clause implication problem (whether a universally quantified function free Horn clause logically implies another one) was more recently studied in [16].

**Translating SQL into Relational Algebra.** In [41] a first translation of the database query language SQL into (extended) relational algebra was given. This was the first publication of a formal semantics for SQL (before this language was standardized).

Functional Dependencies: Projections and Irredundant Covers. I developed a practical algorithm for the projection of the functional dependencies of a database schema onto a subschema [147]. While the general problem is NP hard, this algorithm behaves polynomially for a large class of input instances covering an overwhealming part of realistic instances arising in the practice of database design. This algorithm has been used in several software systems for the design of databases, which have been reported in the literature, and is often referenced in textbooks. The following problem was introduced by B. Thalheim as an open problem<sup>5</sup>: Given a non redundant set F of functional dependencies; Such that r is the smallest number of dependencies in a superset which is equivalent to F. How much can r and |F| differ? In [55] I solved this problem and showed that all nonredundant supersets differ in their cardinality by only a minimal factor. In practice this means that the so called "optimal superset" (whose computation is NP-hard) does not need to be computed because all non-redundant (polynomially computable) supersets are very close to the optimal.

<sup>&</sup>lt;sup>5</sup>EATCS-Bulletin No.32, June 1987, problem 174

## 5 Professional Activity

#### • Invited conference talks.

- DL2008 The 21st International Workshop on Description Logics, May 2008, Dresden, Germany

- SIEEEM'07 Symposium of the IEEE in Monterrey, Monterrey, Mexico, November 1-3, 2007.

- AutoMathA 2007 Automata: from Mathematics to Applications Palermo, Italy, June 18-22, 2007.

- CILC 2007 Convegno Italiano di Logica Computazionale Italian Conference on Computational Logic, Messina, Italy, June 2007.

- BCTCS 2007: British Colloquium for Theoretical Computer Science, Oxford, April 2007.

- British Logic Colloquium, Oxford, September 2007.

- ODSA 2006: Optimal Discrete Structures and Algorithms, Rostock (Germany), September 04 - 06, 2006

- BNCOD 2006: 23rd British National Conference on Databases Queen's University Belfast, Northern Ireland, July 18–20, 2006.

- MathCSP 2006: International Workshop on Mathematics of Constraint Satisfaction: Algebra, Logic and Graph Theory. St. Anne's College, University of Oxford, March 20–24, 2006.

- Information Sciences of New Era: Brain, Mind and Society, Sendai, Japan, Sept. 26-27, 2005.

- WG 2005: 31st International Workshop on Graph-Theoretic Concepts in Computer Science, Metz, France, June 23-25, 2005.

- BTW 2005: 12th Conference of the German Computer Science Society on Database Systems for Business, Technology, and the Web (12. GI-Fachtagung Datenbanksysteme für Business, Technologie und Web), Karlsruhe, Germany, Winter 2005.

- IJCAR 2004: International Joint Conference on Automated Reasoning. Cork, Ireland, July 4-8, 2004.

- 23 ACM SIGMOD-SIGACT- SIGART Symposium on Principles of Database Systems (PODS 2004) Paris, France - June 14-16, 2004

- Third International Symposium on Foundations of Information and Knowledge Systems (FoIKS 2004), Vienna (Austria), February 17 - 20, 2004.

- IEEE/WIC International Conferences on Web Intelligence and Intelligent Agent Technology, (WI/IAT-03), Halifax, Canada, Oct. 13-16, 2003.

- LICS 2002, IEEE Symposium on Logic in Computer Science, Copenhagen, Denmark, July 2002.

- Logics in Artificial Intelligence JELIA'02, Calabria, Italy, September 2002.

- Ninth International Workshop on Nonmonotonic Reasoning NMR 2002, Toulouse, France, April 2002.

- Symposium on the Effectiveness of Logic in Computer Science (ELICS), Saarbruecken, Germany, March 4-6, 2002.

- Invited system demo and paper at Intern. Workshop on Logic Programming and Nonmonotonic Reasoning (LPNMR), Vienna, 2001.

- 26th International Symposium on Mathematical Foundations of Computer Science (MFCS'01), Mariánské Lázně, CFR, 2001.

- 5th International Conference on Developments in Language Theory (DLT'01), Vienna, July 16-21, 2001.

- 7th International Conference on Logic Programming and Automated Reasoning (LPAR 2000), Reunion Island, Frankreich, November 2000.

- DEXA'99 Database and Expert Systems Applications, Florence, Italy, August/September 1999

- International Symposium on Knowledge Representation and Reasoning (KR'96), Cambridge, MA, Nov. 1996.

- 11th International Logic Programming Symposium (ILPS), Ithaca, NY, 1994.

- Logics in Artificial Intelligence JELIA'94, York, UK, 1994.

- 12th International Conference on Fundamentals of Computation Theory, (FCT'97), Krakow, September 1997.

- Second Intern. Workshop on Logic Programming and Nonmonotonic Reasoning (LPNMR), Lisbon, 1993.

- International Symposium on Mathematical Foundations of Computer Science (MFCS), Prague, 1995.

- International Summer School on Logic Programming, Alghero, Italy, June 1996.

- Conference on Inductive Logic programming (ILP'97), Prague, September 1997.

- Italian Artificial Intelligence Conference 1997, Rome, Sept. 1997.

- LLC'99 Third International Symposium on Language, Logic and Computation, Batumi, Georgia, September 1999.

- Workshop on Proof Theory, Complexity and Meta-mathematics, Kurt Gödel Society, Vienna, April 1994.

- European Conference on Computer Assisted Systems Theory (EUROCAST), Innsbruck, 1995.

- First COMPULOG Net Meeting on Knowledge Bases, Munich, 1992.

- Logic Colloquium 95, Haifa, Israel, 1995. Workshop on Non-monotonic Reasoning.

Finite Model Theory Workshop, Oberwolfach, Germany, 1998.
Workshop on Finite Model Theory and Implicit Complexity, at FLOC'99, Trento, July 1999.

• Other Invitations and visits. Over 120 talks at different european and US universities or research institutions (which are not listed in detail). Research and teaching activity at a number of different universities, e.g. Stanford University (overall 9 month), UNAM Mexico (intensive course, 1 week), ETH Zürich (sabbatical), UC Berkeley (visiting professor), Paris VII (visiting professor).

#### • Awards, Scholarships, and Distinctions

- 1979 President Schärf Award and Scholarship.
- Italian Government Scientific Visitor Scholarship (1982).
- Appreciation Certificate for contributing to the educational program of Stanford University, 1985.
- Award of the Italian Society of Electrical Engineers, 1988 (with P. Paolini and R. Zicari).
- Medal from Helsinki University for scientific achievements, 1995.
- Senior Fellow of the Christian Doppler Society, 1996.
- 1998 Wittgenstein Award
- 1999 Invited McKay Professor at the Computer Science Division, EECS Department, University of California, Berkeley.
- 1999 Elected Corresponding Member of the Austrian National Academy of Sciences.
- 1999 Best Paper Award (with F. Scarcello and M. Sideri) at the 5th International Conference on Logic Programming and Nonmonotonic Reasoning. El Paso, Texas.
- 2000 Honorary Scientist of the Guizhou Academy of Sciences, Guyang, Guizhou, China.
- 2002 Best Paper Award (with Ch. Koch) at the 21st ACM SIGACT-SIGMOD-SIGART Symposium on Principles of Database Systems (PODS), Madison, Wisconsin, June 2002.
- 2002 Visiting Professor, Université Paris VII, Denis Diderot.

- 2002 Fellow of ECCAI, the European Artificial Intelligence Society: "For Pioneering Work in the Field of Artificial Intelligence, and Outstanding Service for the European Artificial Intelligence Community".
- 2004 Elected Full Member of the Austrian National Academy of Sciences.
- 2005 ACM Recognition of Service Award (in Appreciation for Contributions to ACM - General Chair PODS 2005).
- 2006 Elected Member of the German Academy of Sciences Leopoldina.
- 2006 Royal Society Wolfson Research Merit Award.
- 2006 Elected Member of the European Academy of Sciences Academia Europaea.
- 2006 Selected as *Highly Cited Scientist* by the Institute of Scientific Information (ISI).
- 2007 Best Paper Award (with Z. Miklos and Th. Schwentick) at the 26st ACM SIGACT-SIGMOD-SIGART Symposium on Principles of Database Systems (PODS), June 2007, Beijing, China.
- Chair and membership of program committees or conferences.

Chairs:

- General Chair of the 24th and 25th ACM SIGMOD SIGACT SIGART Symposia on Principles of Database Systems (PODS'2005 and 2006).
- Program Chairman of IJCAI'03, International Joint Conference on Artificial Intelligence, Acapulco, Mexico, 2003.
- Program Chairman of the 19th ACM SIGMOD SIGACT SIGART Symposium on Principles of Database Systems (PODS'2000), Dallas, Texas.
- Co-chairman of the program committee for the International Conference on Database Theory 1995 (together with co-chair Moshe Vardi).
- Program co-chairman for the 1998 Computer Science Logic conference (CSL'98). Co-chair was Etienne Grandjean.

 Chairman of the program committee of the international workshop on *Expert Systems in Engineering*, 1990, (together with cochair Prof. Wolfgang Nejdl). 50 participants

Member of approximately 75 program committees of which a brief selection is provided here:

- Intern. Joint Conference on Artificial Intelligence 2001 (IJ-CAI'01).

- Constraint Programming (CP'01), Cyprus, 2001.

- 16th American National Conference on Artificial Intelligence (AAAI'98), 1998.

- ACM SIGMOD Symposium on Principles of Database Systems (PODS), 1998.

- European Conference on Artificial Intelligence (ECAI), 1996.

- Intl. Symposium on Knowledge Representation and Reasoning (KR) 1994, 1998.

- Intern. Colloquium on Automata, Languages and Programming (ICALP), 1997.

- Intern. Conference on Computational Complexity , (CCC) 1997 (Former name: Structure in Complexity Theory)

- IEEE Symp. on Logic in Computer Science, (LICS) 1996.

- ACM SIGMOD Symposium on Principles of Database Systems (PODS), 1994.

- International Conference on Data Engineering, 1987, 1988, 1989, 1991.

- International Conference on Extending Database Technology (EDBT), 1988, 1992 (Org. Chairman).

- International Conference on Very Large Data Bases (VLDB) 1989, 1990, 1995.

- International Conference on Deductive and Object-Oriented Databases (DOOD91), 1991, 1994.

- Symposium on Mathematical Fundamentals of Database and Knowledge Base Systems (MFDBS), 1991.

- Editor and Coeditor of Journals. Until recently: Editor in Chief of *AI Communications*. Currently or previously on the editorial/advisory boards of the following scientific journals:
  - Communications of the ACM (appointed, starting 2008)
  - Journal of Computer and System Sciences (JCSS)

- Artificial Intelligence (until December 2007)
- Journal of Applied Logic
- Annals of Mathematics and Artificial Intellignce
- Web Intelligence and Agent Systems
- Journal of Discrete Algorithms
- Informatica
- Chicago Journal of Theoretical Computer Science
- IEEE Transactions on Knowledge and Data Engineering (1999-2003)
- Theory and Practice of Logic Programming (Area Editor, 2000-2003)
- Very Large Databases (VLDB Journal, 1993–1998)
- Journal of Artificial Intelligence Research (1996–98)
- Computing (1992–1996)
- Journal of Logic Programming (1997-2000)
- Journal on Information Processing and Cybernetics (1994-1996)
- Annals of the Kurt Gödel Society.
- Membership in scientific advisory boards: IJCAI Board of Trustee, PODS Executive Committee (currently Chairman), LPNMR Steering Committee (Chair from 1997-2001), Scientific Council of the European Association for Computer Science Logic (EACSL), of the European Association of Theoretical Computer Science (EATCS) (1994-1998), of the Kurt Gödel Society (KGS; vice president of this society from 1990– 1995), of the institute of computer science of the Consiglio Nazionale delle Ricerche (CNR) in Cosenza, Italy (1996-2002), and of the SOF-SEM Seminars in the Czech Republic. Member of the Senate of the Christian Doppler Society (1995-2001). Member of the Award Committee of the Austrian Computer Society.
- Membership in EU Networks of Excellence: Network of Excellence COLOGNET (Computational Logic), GAMES (Games-Theory and Logic), REWERSE Foundations of the Semantic Web). In the past: COMPULOG (Computational Logic) and IDOMENEUS (databases).

## 6 Teaching

#### 6.1 Academic Teaching

Among others, the following courses were taught:

- Theory of Data and Knowledge Bases, graduate course, Oxford University, Hilary term 2007.
- Complexity of Logic Programming and Knowledge Representation, University of California at Berkeley, spring term 1999.
- Database Systems. This course was taught many times at TU Wien since 1988. It is an required undergraduate course of the CS curriculum at TU Wien.
- Expert Systems. This course was regularly taught at TU Wien between 1988 and 1993. It was a required undergraduate course of the CS curriculum at TU Wien.
- Introduction to Artificial Intelligence. Taught together with other faculty members every semester since 1998.
- Special Courses for Italian Doctoral Programs. During the last 15 years I have tought several special courses for Ph.D. programs in Italy. These courses consisted in the compact presentation of advanced material on the complexity of database query answering, logic programming and nonmonotonic reasoning. Such courses were taught in Genoa, Pisa, and recently in Cosenza.
- Complexity Theory, TU Wien, 1996 and 1997.
- Introduction to Programming for Electrical Engineers. This course was regularly taught at TU Wien between 1991 and 1994. It is a required undergraduate course of the EE curriculum at TU Wien.
- **Database Theory**, TU Wien, 1986/87. This was a special course for graduate and Ph.D. students.
- Distributed Databases, Stanford University, summer terms 1985, 1986, 1987. This course, co-taught with Stefano Ceri, was a facultative course at the EE and CS Depts. of Stanford University.

• Organization and supervision of more than 20 *seminars* at TU Wien. A seminar – in this context – is a semestrial monographic course where students learn to deal with scientific literature and to present scientific results.

#### 6.2 Supervision of Master Theses and Doctoral Dissertations

Since 1988 supervision of approximately 60 master theses and 20 Ph.D. theses. The following is a selection of Ph.D. theses.

Wolfgang Nejdl: "Query Processing in a Deductive Prolog/RDBMS System", 1988. (W.Nejdl is currently Professor of Computer Science in Hannover, Germany.)

Michael Schrefl: "Object-Oriented Database Integration", 1989, co-advised with principal advisor Prof. Erich Neuhold. (M. Schrefl is currently Professor of Computer Science at the University of Southern Australia at Adelaide.)

Thomas Frühwirth: "Types in Logic Programming", March 1990. (T. Frühwirth is currently a Professor of Computer Science at the University of Ulm, Germany)

Gerhard Friedrich: "Improvements in Model-Based Diagnosis", 1990. (G. Friedrich is currently Professor of Computer Science at the University of Klagenfurt, Austria).

Marcus Stumptner: "Redundancy and Information Content of Data Relations with different Kinds of Null Values", 1990. (M. Stumptner is currently a Professor of Computer Science at the University of South Australia in Adelaide).

Thomas Eiter: "On Transversal Hypergraph Computation and Deciding Hypergraph Saturation", 1991. (Th. Eiter is currently Professor of Computer Science at TU Vienna).

Wolfgang Slany: "Fuzzy Scheduling", 1994. (W. Slany is currently a Professor of Computer Science at TU Graz.)

Franz Wotawa: "Applying Model-Based Diagnosis to Software Debugging of Concurrent and Sequential Imperative Programming Languages", 1996. (F. Wotawa is currently a Professor of Computer Sciene at TU Graz.) Helmut Veith: "Succinct Representation and the Complexity of Logic and Database Query Languages" (H. Veith is curently a Professor of Computer Science at TU Munich, Germany)

#### 6.3 Nonacademic Teaching

- Various courses held for the industry (Italy, Austria, and US). The topics include Software Engineering, Database Design, Knowledge Based Systems, Distributed Databases, and Database Administration. A detailed list is omitted.
- Organisation, design and effectuation of a curriculum "Database Professional" at the Institute Fernando Santi in Genoa, Italy, 1985. This EU funded course consisted of more than 500 hours of lecturing, lab, and industry stages. The students were unemployed seamen with a high-school degree. The course was very successful: 90 percent of the attendees found a job immediately after accomplishment.

## 7 External Funding

- Royal Society Wolfson Research Merit Award. This Award consists of a salary increment and allows me to invite top class researchers to Oxford.
- EPSRC: UK Engineering and Physical Sciences Research Council. Project EP/E010865/1: Schema Mappings and Automated Services for Data Integration and Exchange. Description: This project, which is predominantly in the area of database theory, deals with schema mappings in the context of data exchange and data integration. Data Exchange is the problem of inserting data structured under one or more source schemas into a target schema of different structure (possibly with integrity constraints) while reflecting the source data as accurate as possible. We develop new algorithms for data exchange and analyze the complexity of data exchange problems. We will also study how data exchange and integration can be best performed via Web services and we will develop a model for this. Finally, we will implement and test our new algorithms in this context. The project (whose value is GBP 489,190) will fund one postdoc and two PhD students for 3.5 years.

- Austrian Science Fund Project FWF P17222: "Complementary Approaches to Constraint Satisfaction". Sept. 1, 2004– Sept 1, 2006. This project deals with *hypertree decompositions*, a method of hypergraph-based problem-decomposition introduced by Gottlob, Scarcello, and Leone [13], which leads to polynomial algorithms for large subclasses of NP-hard problems such as constraint satisfaction problems or conjunctive query evaluation. This progject aims at improving hypertree decomposition algorithms, and combining the hypertree-decomposition method with other "complementary" methods of problem simplification. The project funds a post doc researcher, a Ph.D. student, and a part-time programmer for two years.
- Austrian Science Fund Project FWF L47: "Inductive Learning for visual Data Extraction". April. 1, 2005–April 1, 2007. In this project, a new combination of machine learning with visual data extraction will be explored. We intend to apply supervised machine learning techniques for learning rules for the automatic extraction of hierarchical XML-objects from Web pages. The project will fund one post doc researcher and one Ph.D. student.
- **FIT-IT Project NextWrap**. Jan. 1, 2005-Dec.31, 2006. This project is partially funded by the Austrian government and partially by the *Lixto Software* company. The project aims at scientifically improving data extraction technology by (i) extending recent HTML extraction methods to other formats such as plain text or PDF, (ii) using ontologies for data extraction, (iii) enabling wrappers to automatically adapting themselves to Web pages of changing structure. The total amount received by TU Wien for this project is approximately Euro 300,000.–
- **FIT-IT Project AllRight**. Jan. 1, 2005–Dec.31, 2006. This project is partially funded by the Austrian government and partially by the Vienna based *Lixto Software* company and the Klagenfurt based *Con-figWorks* company. The project goal is to design new algorithms, mechanisms, and software prototypes for automatically discovering new products of a certain category (e.g. cellphones, cameras, flights) and product features or attributes in the Internet. The total amount granted TU Wien for this project is of approximately Euro 350,000.–
- EU Research Training Network GAMES: Games and Automata for Synthesis and Validation. GAMES is a Research

Training Network funded by the European Comission under the Fifth Framework Programme. The collaboration involves seven European universities and one from the US. Research Objectives: There is a growing need for formal methods that guarantee the reliability, correctness, and efficiency of computerised systems. This project adresses this challenge by developing specification and validation methodologies that are based on games and automata. Oriented at both foundational research and modern applications, this network aims to provide a novel set of techniques for the synthesis and validation of computing systems. Gottlobs research group got funding for a PH.D. student for 2 years and for a post doc researcher for one year from the GAMES Project.

- EU Research Training Network CoLogNET on Computational Logic. CoLogNET is the European network funded by FET, the Future and Emerging Technologies arm of the IST Programme, FET-Open scheme. The network is dedicated to establishing computational logic as an academic discipline. CoLogNET operates in an international context and promotes exchange and co-operation between the different research areas related to computational logic. It is a network of networks which covers with its member nodes the whole area of computational logic.
- EU Research Training Network REWERSE: Reasoning on the Web with Rules and Semantics. REWERSE is a research "Network of Excellence" (NoE) on "Reasoning on the Web" that is funded by the EU Commission and Switzerland within the "6th Framework Programme" (FP6), Information Society Technologies (IST), Priority 2 under the project reference number 506779. REWERSE addresses the IST strategic action line "Semantic-based knowledge systems".

#### • Wittgenstein Award and Grant.

The Wittgenstein Award is the highest funded austrian award for scientific achievements. It is usually given to to one or two (but at most three) austrian researchers per year<sup>6</sup> The award winners are selected by a prestigious international committee<sup>7</sup> The award consists of 15 million Austrian Shillings (equivalent to approx. 1.2 million US\$). This amount can be spent for research at the discretion of the recipient.

<sup>&</sup>lt;sup>6</sup>see http://www.fwf.ac.at/Foerderung/start-en.html.

<sup>&</sup>lt;sup>7</sup>see http://www.fwf.ac.at/Statistik/1998/jury-en.html.

G. Gottlob received the Wittgenstein Award in June 1998. He used the money for research on algorithms in the area of databases and AI, query decompositions, and other topics. The project finished in 2004.

#### • The Christian Doppler Laboratory.

This laboratory for fundamental research was awarded to G. Gottlob in 1989 after a strong selection process based on international expert opinion. It was extended, on the strength of its achievements, by five years, after an initial two year phase. It ended in September 1996 and cannot be further extended since the maximum funding period for Doppler Labs of seven years was reached. The laboratory was commissioned and supported by the ÖIAG, the holding of the nationalized industries of Austria. The total amount of funding was approx. 2 million US\$.

The CD-laboratory dealt with fundamental research and knowledge transfer in the field of expert systems, in particular research concerned with expert systems for failure diagnosis on technical installations and interfaces between expert systems and databases, the fundamentals of knowledge representation, nonmonotonic reasoning, and related topics.

- Competence Center for Electronic Commerce This competence center, founded in October 2000, consists of a strategic consortium of four austrian university institutes and ten companies. The goal is research and knowledge transfer in the area of electronic commerce. Within this competence center, various projects with a total average of 3 full-time employees are carried out by the group of G. Gottlob.
- **CSP-Project with DaimlerChrysler AG, Berlin**. DaimlerChrysler Research Labs (Berlin, Germany) awarded an industrial research project to G. Gottlob for incorporating structural decomposition methods into their constraint solver. In particular, the method of Hypertree Decopmpositions invented by Gottlob, Leone, and Scarcello should be used in order to decompose CSP problems and recognize polynomially solvable cases. DaimlerChrysler funds one Ph.D. student and consulting.
- VENIVA (ESPRIT Project Nr. 20638) The project VENIVA (Venetian Virtual Archives) of the Framework Four ESPRIT programme started on Nobember 1, 1995 and had a duration of 28 months (end: February 28, 1998). The institute of informations systems was

a contractor with two scientific staff paid from the project budget. Objective: New methods and tools for storing multimedia Documents. The project was successfully completed.

• SIT-MOON (ESPRIT Project Nr. 25652) The project SIT-MOON (System of Integrated Tools for the Creation of Multimedia Contents Delivered Off-line and On-line) of the Framework Four ES-PRIT programme started on September 1, 1997 and has a duration of 24 months. The institute of informations systems is a contractor with two scientific staff paid from the project budget.

Objective: Provide the publishing industry with a modular multimedia authoring environment suited to multimedia production for on-line (small and broad band) and off-line (e.g. CD-ROM, DVD) delivery. The integration of digital media archives into the authoring environment for storage, management, and retrieval of multimedia content is a key issue in the project.

- Project ARTEX (Automated Routing Test Expert System) Client: SIEMENS AG Austria. Term of contact: 3 years (1990-1992) Paid posts: 1 assistant. Content of the project: Development of expert systems to support the configuration of a new digital switchboard system (DDS), the end control of a deliverable DDS and the failure diagnosis of a working DDS. Project successfully completed.
- MOOD: Object oriented development of object oriented systems (1990-92). Funded by: SIEMENS AG Austria. Paid posts: 1 assistant, 1 student assistant. Content of the project: development of a method for the design of object oriented systems. Project successfully completed.
- COCOS: Configuration by Constraint Satisfaction. October 1990– September 1993. Funded by: SIEMENS AG Austria. Paid posts: 1,5 assistants. Content of the project: development of an automatic configurator for large scale technical systems. Project successfully completed.
- **OODB-EVAL: Object Oriented Database Evaluation** 1991-93. Funded by: SIEMENS AG Austria. Paid posts: 1 assistant, Content of the project: Evaluation and Selection of object oriented database systems for the CIRCE railway station configuration support tool marketed by SIEMENS. Project successfully completed.

- DDV (Design Diagnosis for VHDL). January 1994 December 1997. Funded by: SIEMENS AG Austria. Paid posts: 1 + 2/3 assistants. Content of the project: development of an intelligent debugging tool for VHDL-based hardware designs. The first phase of this project was successfully completed by December 1995. The project was then prolongued for two further years.
- **Project ARTHUR (1989).** Client: Profisoft EDV-Vertriebs GmbH. Term of contract: 1/2 year. Paid posts: 1 freelance worker. Content of the project: Scientific support for the fundamentals and methods for the development of a relational database for an integral standard software package under OS/2. Project successfully completed.
- Austrian construction database This FFF project with the company INFO-TECHNO in Salzburg started in May 1995. One staff member will be paid entirely. The goal of the project is to create a CD-ROM database of building material and to make it available over the Internet. A methodology for the design of interactive catalogues was be developed. The first part of the project was successfully accomplished.
- A Query System for Disjunctive Datatbases FWF, co-investigator (principal investigator= Prof. Nicola Leone). Two researchers funded for two years starting Nov. 1st, 1996. The project deals with computational aspects of disjunctive deductive databases and with the implementation of a system for querying such databases (the ddv system).

## 8 Entrepreneurial Activity

Georg Gottlob is co-Founder of Lixto Software GmbH (see www.lixto.com). The company, which was founded in late 2001 describes itself as follows.

## **Company Overview**

Lixto Software GmbH is a privately held company located in Vienna, Austria. We are a spin-off of Vienna University of Technology and EC3 Electronic Commerce Competence Center. Lixto Software GmbH provides solutions for automatically accessing, transforming, and syndicating data from the Deep Web.

Lixto delivers extraction and transformation services to mobile and web service providers and application software providers which are significantly easier to use through visual support and significantly cheaper to operate and support through their superior design and robustness.

Lixto has been conceived to support and extend the vision of the "semantic web" as outlined by Tim Berners Lee - to make it much easier for computers and humans to access, understand, and further process web content.

#### **Company Focus**

Lixto turns classic web pages into meaningful, structured data. The processes of access, transformation and syndication is supported. There is a wide range of applications which can benefit from Lixto.

Lixto focuses on markets where the procurement of outside web intelligence in a structured form, ready for further processing is crucial to the success of a business. These markets include System Integrators, Corporate IT Managers and Web Developers, Mobile and Internet Content providers and Software manufacturers.

#### **Company Vision**

The company vision is to enable a wide range of customers to benefit from the power of extracting and processing highly individual and complex information. This can be fulfilled in a highly automated fashion from the World Wide Web in their business applications. The methods are strongly superior to highly repetitive human tasks performed today.

Great savings in cost and productivity will be achieved by having Lixto access, transform and syndicate information, which is traditionally done with human support. Lixto will enable break through ease of use and accelerate time to market for Lixto based solutions.

For several years a group of scientists from the Vienna University of Technology has been working on this vision.

#### **Company Mission**

The company mission is to build a highly profitable business and leading European marketshare with the strongest system integration and software application providers.

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References will be provided on request.

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